

## IT Governance Management & MKS Integrity 2006

### Overview

Publicly traded companies in the United States are now governed by the Sarbanes-Oxley Act ("SOX") with respect to the reporting of financial reports to their shareholders. CEO's and CFO's will be required to certify that their company has established and followed a system of internal control over the information included in the financial statements, MD&A and other documents filed with the SEC, and that those controls are adequate to ensure that the information included in these filings is accurate. Management must also disclose any known material weaknesses in the system of internal control.

*"In the rush to meet last November's initial SOX deadline, many companies had to resort to manual systems to ensure change management control, and these systems are cumbersome and costly to maintain. Companies are looking to replace these manual systems with automated change management controls, and this should spur additional investment in application life-cycle management tools such as software configuration management systems. MKS was one of the first SCM vendors to specifically target this opportunity."*  
- Melissa Webster, Research Director, Application Development and Deployment Research Group, IDC

The implications of Sarbanes-Oxley for IT are sweeping. While it is generally understood that organizations must satisfy themselves and their auditors of the integrity of their financial systems, Sarbanes-Oxley's reach goes deeper into the IT organization to all systems and applications that could have a material impact on the financial disclosures of the company — many of which have been internally developed over time. Is your IT organization ready for this level of scrutiny?

### The Challenge

As a corporation seeking to improve

IT governance and comply to the new regulatory climate you must establish new levels of control over your business and development processes and ensure that systems and core business applications are secure and of high integrity.

As an IT leader you are challenged with:

- Centralizing and improving change management visibility and control across all computing platforms and systems in the enterprise;
- Ensuring that the processes running the business of IT are documented, consistent, automated and repeatable;
- Achieving greater quality and reproducibility of business-critical software applications;
- Establishing secure and controlled access to software assets and intellectual property;
- Satisfying the stringent requirements of both internal and external auditors.

However, business now moves at the speed of light. Change is rapid and ongoing. And you are seeking to deliver new capability more rapidly while enhancing your team's productivity. Is it possible to achieve new levels of

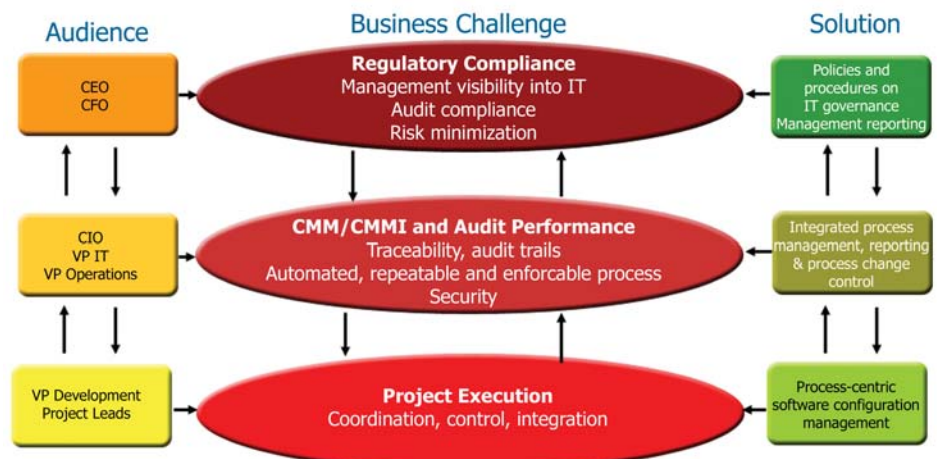
regulatory compliance without hindering productivity, slowing delivery schedules, and drowning in manual paperwork?

### The Solution — IT Governance Management

MKS delivers a solution that enables organizations to meet their IT governance and compliance goals with confidence, providing granular auditability, traceability and control, while reducing bureaucracy and the need for manual, time-intensive process.

MKS Integrity for IT Governance Management enables improved collaboration between your development and operations staff, connects IT to business process, and gives your senior managers clear evidence of compliance through detailed audit trails, documented and repeatable processes and sophisticated management reporting. MKS's solution provides a solid foundation for compliance that ensures internally developed systems and applications remain secure and reliable.

**Global Team Visibility** — provide senior IT and executive management with a clear and unified picture of development activity. Through the use of consistent tools and processes, backed by powerful management reports, executives can



have granular evidence of compliance, and traceability of all change as it flows through the development lifecycle.

**Automated Audit Tracking and Control** — complete audit trails that show change to systems, software, documentation, processes and governance policies and procedures, offering the where, when, why and whom behind change.

**Consistent yet agile process control** — connect disparate projects, platforms and departments across the organization with a unified approach to process. Powerful workflows automatically route change through the approval stages.

**Secure** — protect mission critical systems ensuring only authorized personnel have access through integration with enterprise security protocols, and govern the effort through fine grained process definition.

Adjust security levels by the individual, project, or team. Centralized archive control ensures critical software assets are protected at all times.

**Protect System Integrity, Uptime and Availability** — use process to govern secure, reliable and repeatable deployment of cross-platform change to production environments, and trigger roll backs and release recreation capabilities for disaster recovery. Staging of change prior to deployment minimizes risk to production environments and ensures application uptime and reliability.

**Better Control Offshore and Outsourced Development Efforts**  
Integrate offshore development teams and outsourcers into your compliance efforts through granular control over

assigned projects and secure vital software assets through the use of a centralized archive. Support for real time collaboration between geographically distributed teams gives IT management instant visibility into offshore activity.

**Customers**

- Magellan Health
- AP Capital
- Certegy
- Union Bank of California
- Royal Bank of Canada
- Aveda
- Citigroup
- Eontec
- Iron Mountain

**Contact Us Today**

For more information about MKS Integrity for IT Governance Management, point your browser to [www.mks.com](http://www.mks.com) or call 1-800-613-7535 (US & Canada), +49-711-351775-0 (Germany), and +44-1483-733900 (United Kingdom).

*"Developing, implementing, evaluating, and maintaining systems that allow Magellan to provide high levels of service to members, customers and providers is vital to our success. Further, compliance with the laws and regulations that govern our business and with contractual and accreditation requirements is critical. Achieving and maintaining compliance requires active participation and coordination with our customers, providers and business associates. We selected MKS for its people and support just as much as for its technology, and are confident that the value MKS brings to our business and to our customers will allow us to continue this mutual commitment to excellence far into the future."*

*— Jeff Emerson, CIO Magellan Health Services*



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